

NARBHA
STATEMENT OF FINANCIAL POSITION
AS OF: March 31, 2014

ASSETS

CURRENT ASSETS

101 Cash (Disclose on Schedule A)	24,191,724
102 Current Investments	7,533,681
103 Accounts Receivable (net) (Disclose on Schedule A)	1,790,144
104 Notes Receivable (current portion)	0
105 Prepaid Expenses	513,312
106 Other Current Assets (Disclose on Schedule A)	108,402
107 Total Current Assets	34,137,263

NON-CURRENT ASSETS

108 Land	1,390,709
109 Building	4,797,595
110 Leasehold Improvements	0
111 Furniture and Equipment	5,827,664
112 Vehicles	270,021
113 Total Property and Equipment	12,285,989
114 Less: Accumulated Depreciation	5,220,618
115 Net Property and Equipment	7,065,371
116 Notes Receivable (net of current portion)	
117 Performance Bond (Disclose on Schedule A)	
118 Long Term Investments	50,000
119 Deposits	
120 Other Noncurrent Assets (Disclose on Schedule A)	0
121 Total Noncurrent Assets	7,115,371
122 TOTAL ASSETS	41,252,634

LIABILITIES AND NET ASSETS/EQUITY

CURRENT LIABILITIES

201 Incurred But Not Reported Claims (Disclose on Sch. A)	335,620
202 Reported But Unpaid Claims	0
203 Payable to ADHS (Disclose on Schedule A)	0
204 Payable to Providers	865,678
205 Trade Accounts Payable	169,530
206 Accrued Salaries and Benefits	825,601
207 Long-term Debt (current portion)	0
208 Deferred Revenue (Disclose on Schedule A)	24,866
209 Risk Pool Payable	453,770
210 Other Current Liabilities (Disclose on Schedule A)	160,499
211 Total Current Liabilities	2,835,564

NON-CURRENT LIABILITIES

212 Long-term debt (net of current portion)	0
213 Loss Contingencies (Disclose on Schedule A)	0
214 Other Noncurrent Liabilities (Disclose on Schedule A)	0
215 Total Noncurrent Liabilities	0

216 TOTAL LIABILITIES	2,835,564
------------------------------	-----------

217 NET ASSETS/EQUITY

Unrestricted Net Assets	38,417,070
Restricted Net Assets (Disclose on Schedule A)	0

218 TOTAL LIABILITIES AND NET ASSETS/EQUITY	41,252,634
--	------------

NARBHA
STATEMENT OF FINANCIAL POSITION
AS OF: March 31, 2014
Schedule A Disclosures

ASSETS:

<u>Cash</u>		
	Unrestricted	15,870,724
	Collateral for LOC	8,321,000
Total Cash		<u><u>24,191,724</u></u>

Accounts Receivable

ADHS	Program ID	Category ID	
Current Period			
	CMHS SED Block Grant		10,312
	CMHS SMI Block Grant		3,503
	SAPT Block Grant		846,054
	PATH		34,561
	NTXIX/XXI Other	MHFA	3,492
	Other Federal	SBIRT	204,322
	SB1616 Housing		69,405
	Other Federal	SPF-PFS Grant	79,691
	Other Federal	CABHI	10,515
Prior Period	TXXI Child		446,192
Non-ADHS &/or Unrelated Business			
Current Period			
	Other Grants Receivable	ADOH - HUD	15,990
	Accrued Interest		8,784
	Other Receivables		57,323

Allowance for Doubtful Accounts	
Total Accounts Receivable	<u><u>1,790,144</u></u>

Other Current Assets (Detail of Line 106)

	Insurance paid by BHIP	108,402
Total Other Current Assets		<u><u>108,402</u></u>

Other Noncurrent Assets (Detail of Line 120)

		0
Total Other Noncurrent Assets		<u><u>0</u></u>

PERFORMANCE BOND:

Met with a surety bond purchased from Fidelity and Deposit Company of Maryland in the amount of \$7,199,813 and an irrevocable letter of credit from Compass Bank in the amount of \$8,321,000.

Adjustments:

Payables to ADHS - Other* Category

Explain ≥10% fluctuation in account from prior period

Accounts Receivable - Increase primarily due to increase in ADHS receivables.

Prepaid Expenses - Decrease primarily due to quarterly expensing of prepaid maintenance.

Other Current Assets - Increase primarily due to recording of BHIP liability.

Long Term Investments - Increase due to investment in Welterra Development Group (WTDG, Inc)- a related c

Incurred but not Reported Claims - Decrease due to fewer auths & more timely submission of claims

Other Amounts Payable to Providers - Decrease primarily due to payments made and profit recorded

Accrued Salaries and Benefits - Increase primarily due to four more days accrued in March than in December.

Deferred Revenue - Decrease due to receiving the adjusted contract rates for TXIX/TXXI

Other Current Liabilities - Increase due to recording of BHIP liability.

LIABILITIES:

IBNR Claims Estimate

Current Period	
FY 14	207,870
Prior Year(s)	
FY 13	127,750

Total IBNR	<u><u>335,620</u></u>
-------------------	-----------------------

Payable to ADHS (Detail of Line 203)

Program ID	Category ID	
Current Period		
Prior Year		

Total Payable to ADHS	<u><u>0</u></u>
------------------------------	-----------------

Deferred Revenue from: (Detail of Line 208)

Program ID	Category ID	
ADHS		
Current Period		
SAPT Block Grant	Prevention AY13	14,055

Prior Year		
SAPT Block Grant	Prevention AY13	10,811
Non-ADHS &/or Unrelated Business		
Current Period		

Prior Year		
Total Deferred Revenue		<u><u>24,866</u></u>

Other Current Liabilities (Detail of Line 210)

Payable to BHIP	160,499
-----------------	---------

Total Other Current Liabilities	<u><u>160,499</u></u>
--	-----------------------

Loss Contingencies (Detail of Line 213)

Identify Loss Contingencies

Total Loss Contingencies	<u><u>0</u></u>
---------------------------------	-----------------

Other Noncurrent Liabilities (Detail of Line 214)

Identify Other Noncurrent Liabilities

Total Other Noncurrent Liabilities	<u><u>0</u></u>
---	-----------------

Restricted Net Assets (Detail of Line 217)

Total Restrict	<u><u>0</u></u>
-----------------------	-----------------

NARBHA**STATEMENT OF CHANGES IN NET ASSETS / EQUITY****AS OF : March 31, 2014**

			Net Assets / Retained Earnings	Total
	Initial Capital	Additional Capital		
Beginning Balance:			37,055,358	37,055,358
Increase/(Decrease) of Net Surplus / Net Earning for the period ended:			1,361,712	1,361,712
				0
Less Dividends Paid				
** Prior Period Adjustments				0
Ending Balance:				
	0	0	38,417,070	38,417,070

**** Disclosure of Prior Period Adjustments**

March 31, 2014

REVENUES

	TXIX/XXI CHILD	TXIX CMPO	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMSHA (Includes TXIX ADH)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING FOR TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADH	PATH	SUB TOTAL	PROGRAM ADMIN & MGT/GEN	TOTAL
REVENUES																					
401	Revenue Under ADHS Contract																				
a	ADHS Revenue	16,208,369	7,634,987	1,594,766	22,207,075	1,384,604	15,190,515	811,966	2,854,235	288,387	172,004	0	273,700	210,629	1,745,060	0	0	300	69,087	70,645,684	70,645,684
402	ADHS Revenue - Qualifying Incentive Payments																			0	0
403	Specialty & Other Grants* Client Fees (Co-pay)											10,825			24,500	495,297	0	286,045	69,087	816,667	816,667
404	Third Party Recoveries																			0	0
a	Medicare																			0	0
b	Other Insurance																			0	0
405	Interest Income																			0	19,559
406	Other Behavioral Health Fund Sources - Non ADHS*																			0	24,352
407	Unrelated Business Revenue*													0						0	253,083
498	TOTAL REVENUE	16,208,369	7,634,987	1,594,766	22,207,075	1,384,604	15,190,515	811,966	2,854,235	288,387	172,004	10,825	273,700	210,629	1,769,560	495,297	0	286,345	69,087	71,462,351	71,759,345
EXPENSES																					
Service Expenses:																					
Treatment Services																					
a	Counseling																				
1	Counseling, Individual	1,192,070	315,706	31,897	958,333	72,852	1,641,067	-	-	-	-	23,602	-	87,960	-	-	-	-	-	4,322,777	4,322,777
2	Counseling, Family	514,109	124,599	21,881	34,415	9,999	62,613	-	-	-	-	7,897	-	7,534	-	-	-	-	-	801,047	801,047
3	Counseling, Group	97,793	11,336	-	363,097	18,608	614,700	-	228	-	-	2,944	-	151,870	-	-	-	-	-	1,259,576	1,259,576
b	Assessment, Evaluation and Screening	677,550	115,816	37,445	419,293	94,477	1,241,674	-	19,907	-	-	6,527	-	7,565	-	-	-	-	-	2,819,888	2,819,888
c	Other Professional	3,493	1,064	701	204	-	-	-	-	-	-	800	-	-	-	-	-	-	-	6,262	6,262
d	Total Treatment Services	2,485,015	586,621	91,914	1,775,302	195,936	3,559,055	20,135	128,825	0	41,770	7,558	308,411	0	0	0	0	0	9,200,542	9,200,542	
Rehabilitation Services																					
a	Living Skills Training	3,052,892	460,069	240,796	1,045,653	96,059	555,797	0	209,700	-	-	38,081	12,303	26,708	-	-	-	-	-	5,738,058	5,738,058
b	Cognitive Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Health Promotion	22,168	16,616	125	113,507	3,871	136,063	20	24,727	-	-	563	1,451	56,578	-	-	-	-	-	375,689	375,689
d	Supported Employment Services	6,411	1,644	0	537,206	50,711	386,487	478	180,181	-	-	582	10,571	8,448	-	-	-	-	-	1,182,719	1,182,719
e	Total Rehabilitation Services	3,081,471	478,329	240,921	1,696,368	150,641	1,078,347	498	414,608	-	-	39,226	24,325	91,735	-	-	-	-	-	7,296,466	7,296,466
Medical Services																					
a	Medication Services																				
b	Medical Management	478,021	42,980	-	20,712	-	323,351	-	6,639	-	-	6,429	390	22,966	-	-	-	-	-	374,057	374,057
c	Laboratory, Radiology & Medical Imaging	4,297	144	-	42,980	842,174	596	229,751	-	-	-	6,429	13,481	26,691	-	-	-	-	-	2,635,745	2,635,745
d	Electro-Convulsive Therapy	4,297	144	-	9,622	624	8,849	-	118	-	-	91	272	414	-	-	-	-	-	29,321	29,321
e	Total Medical Services	482,318	43,124	29,305	863,032	88,163	1,211,201	714	241,055	0	0	6,520	14,313	50,971	-	-	-	-	-	10,594	10,594
Support Services																					
a	Case Management	2,859,189	1,108,526	278,730	2,834,605	298,586	2,151,285	188,162	630,269	-	-	44,686	37,112	104,261	-	-	-	-	-	10,513,243	10,513,243
b	Personal Care Services	118,413	30,993	139,054	425,242	14,269	53,039	19,685	19,685	-	-	207	820	243,106	-	-	-	-	-	1,280,813	1,280,813
c	Family Support	674,168	137,910	59,708	6,395	845	9,062	160	4,683	-	-	3,084	274	1,007	-	-	-	-	-	897,297	897,297
d	Peer Support	422	308	-	817,875	20,990	259,015	20,540	138,995	-	-	-	-	41,107	-	-	-	-	-	1,303,659	1,303,659
e	Home Care Training to Home Care Client	473,244	979,252	45,693	27,965	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,526,154	1,526,154
f	Unskilled Respite Care	1,057,329	291,225	77,918	8,597	-	-	-	-	-	-	5,728	2,694	29,033	-	-	-	-	-	1,440,797	1,440,797
g	Supported Housing	-	-	-	-	-	-	-	-	-	-	2,694	-	-	-	-	-	-	-	578,183	578,183
h	Flex Fund Services	-	-	-	-	-	-	-	-	-	-	22,137	11,685	4,350	-	-	-	-	-	38,172	38,172
i	Transportation	751,184	122,224	66,198	1,166,160	39,964	1,103,902	6,462	113,367	-	-	3,174	6,661	78,465	-	-	-	-	-	3,457,351	3,457,351
j	Total Support Services	5,934,759	2,670,052	567,609	5,281,889	372,254	3,798,263	215,009	1,021,881	266,758	159,105	0	81,912	93,729	427,317	-	-	-	-	21,035,669	21,035,669
Crisis Intervention Services																					
a	Crisis Intervention - Mobile	165,832	27,318	31,398	106,520	5,955	116,366	96,522	-	-	-	(1)	-	-	-	-	-	-	-	549,909	549,909
b	Crisis Intervention - Stabilization	1,235	-	-	4,410	-	30,976	6,107	-	-	-	-	-	-	464	-	-	-	-	43,192	43,192
c	Crisis Intervention - Telephone	37,985	30,522	-	49,331	-	31,869	18,507	-	-	-	-	-	-	-	-	-	-	-	167,214	167,214
d	Total Crisis Intervention Services	206,052	57,840	31,398	159,261	5,955	179,211	121,136	0	0	0	0	0	0	464	0	0	0	0	780,315	780,315
Inpatient Services																					
a	Hospital																				
1	Psychiatric (Provider Types 02 & 71)	640,395	238,775	19,496	2,587,853	4,838	1,020,977	226,601	-	-	-	-	-	-	-	-	-	-	-	4,738,935	4,738,935
2	Detoxification (Provider Types 02 & 71)	-	-	-	16,964	-	133,105	42,361	-	-	-	-	-	-	-	-	-	-	-	192,430	192,430
b	Sub acute Facility	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
1	Psychiatric (Provider Types B5 & B6)	-	-	82,569	773,838	22,680	344,830	82,387	-	-	-	-	-	-	-	-	-	-	-	1,306,304	1,306,304
2	Detoxification (Provider Types B5 & B6)	-	-	-	61,597	-	55,996	15,303	-	-	-	-	-	-	32,892	-	-	-	-	165,787	165,787
c	Residential Treatment Center (RTC)																				
1	Psychiatric - Secure & Non-Secure Provider Types	1,144,859	704,677	495,249	309	86,858	-	-	-	-	-	-	-	-	-	-	-	-	-	2,431,952	2,431,952
2	Detoxification - Secure & Non-Secure (Provider Types	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
3	(78,B1,B2,B3)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
d	Inpatient Services, Professional	31,829	14,159	1,182	163,839	3,992	73,658	26,925	-	-	-	-	-	-	-	-	-	-	-	314,285	314,285
e	Total Inpatient Services	1,817,183	957,811	597,496	3,604,400	117,369	1,629,567	383,577	0	0	0	0	0	0	32,892	0	0	0	0	9,149,693	9,149,693
Residential Services																					
a	Behavioral Health Residential Facilities	540,788	348,480	161,150	3,752,442	179,450	853,404	-	-	-	-	10,733	-	417,610	-	-	-	-	-	6,264,057	6,264,057
b	Reserved for Future Use	-	-	-	-	-	-	-	-	-	-	32,897	-	32,897	-	-	-	-	-	32,897	32,897
c	Room and Board	-	-	-	-	-	-	-	-	-	-	27,207	-	31,607	-	-	-	-	-	31,607	31,607
d	Total Residential Services	540,788	348,480	161,150	3,752,442	179,450	853,404	0	0	0	0	70,537	0	422,010	0	0	0	0	0	6,328,261	6,328,261
Behavioral Health Day Program																					
a	Supervised Day Program	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
b	Therapeutic Day Program	686	-	-	-	288	-	-	-	-	-	-	-	-	-	-	-	-	-	974	974
c	Medical Day Program	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0	0
d	Total Behavioral Health Day Program	686	0	0	0	288	0	0	0	0	0	0	0	0	0	0	0	0	0	974	974
Prevention Services																					
a	Prevention	-	-	-	-	-	-	-	-	-	-	-	-	195,799	-	-	-	-	-	195,799	195,799
b	HIV	-	-	-	-	-	-	-	-	-	-	-	-	30,758	-	-	-	-	-	30,758	30,758
c	Total Prevention Services	0	0	0	0	0	0	0	0	0	0	0	0	226,557	0	0	0	0	0	226,557	226,557
Medication																					
a	Medication Expense	1,322,264	128,491	159,212	2,863,626	163,909	1,178,948	-	820,179	-	-	11,059	55,076	66,141	-	-	-	-	-	6,768,906	6,768,906
b	Less Pharmacy Rebate Received	(685)	(71)	(124)	(2,244)	(133)	(773)	-	-	-	-	-	-	-	-	-	-	-	-	(4,030)	(4,030)
c	Pharmacy Rebate Related Expense	847	82	103</																	

NARBHA
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

March 31, 2014

*DISCLOSE ON SCHEDULE A

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMHSA (Includes TXIX Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1816 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADQH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/IGEN	TOTAL
Administrative Expenses:																					
601 Salaries	727,968	340,250	57,842	931,888	63,313	651,575	38,747	164,209	20,997	8,640	\$812	9,468	5,678	42,479	1,255		10,064	5,046	3,079,611	\$0	3,079,611
602 Employee Benefits	297,593	66,041	26,125	258,557	18,599	188,475	8,847	26,126	5,694	1,915	-	3,432	2,721	17,474	391		2,746	1,362	837,998	\$0	837,998
603 Professional & Outside Services	22,546	8,470	2,398	31,550	1,883	20,554	1,081	3,120	1,868	214	-	367	871	3,224	44		390	214	98,794	\$0	98,794
604 Travel	10,409	3,405	1,317	13,339	904	8,305	567	1,404	930	72	-	167	283	1,861	1,391		148	75	45,907	\$0	45,907
605 Occupancy	26,031	8,324	3,414	32,404	1,977	21,777	1,219	3,845	493	269	-	407	641	2,733	69		306	105	104,034	\$0	104,034
606 Depreciation	70,132	22,842	8,942	87,547	5,639	76,679	3,301	9,767	1,269	664	-	1,109	2,142	17,016	138		804	277	308,268	\$0	308,268
607 All Other Operations*	138,016	45,161	17,719	172,496	11,291	171,694	6,524	19,216	2,811	1,205	-	3,196	3,451	10,348	2,843		1,627	675	558,149	\$0	558,149
608 Subtotal ADHS Administrative Expenses	1,202,294	494,493	119,548	1,528,350	103,606	1,089,689	60,286	227,687	34,062	12,899	812	18,146	15,797	96,225	6,128	0	16,085	7,654	5,032,761	0	5,032,761
609 Interpretive Services	7,212	534	-	155	480	3,912	-	-	-	-	-	3,027	-	125	-		-	-	15,444	0	15,444
650 Encounter Evaluation Sanction*																			0		0
651 Non ADHS and/or Unrelated Admin. Expense*	0	0	0	0	0	0	0	0	0	0	0	0	0	24,500	52,833	0	6,576	0	83,909	548,046	631,955
652 Subtotal Administrative Expense	1,209,506	495,027	119,548	1,528,505	104,086	1,093,601	60,286	227,687	34,062	12,899	812	21,173	15,797	119,850	58,961	0	22,661	7,654	5,132,114	548,046	5,680,160
701 Unrelated Business Expenses*														0	0				0	-	0
790 Income Tax Provisions																			0		0
a ADHS Income Tax Provision																			0		0
b Non ADHS Income Tax Provision	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
799 Subtotal Income Tax Provision	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
800 TOTAL EXPENSES	17,083,452	5,770,898	2,100,419	21,951,769	1,378,546	14,592,699	911,966	2,854,235	300,620	172,004	30,894	272,031	211,009	1,793,442	925,508	0	305,267	73,978	69,849,587	548,046	70,397,633
801 INC/(DEC) IN NET ASSETS/EQUITY	(885,063)	1,884,379	(505,653)	645,375	5,056	597,816	0	0	(12,433)	0	(20,109)	1,689	(374)	(23,882)	(30,208)	0	(18,922)	(4,891)	1,612,764	(251,052)	1,361,712

*Disclose on Schedule A

NARBHA
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

March 31, 2014

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMHSA (Includes TXIX Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN/NGMT/ GEN	TOTAL	
DISCLOSURE OF NTXIX/XXI OTHER AND OTHER FEDERAL ADHS REVENUE																				-	-	
Total NTXIX/XXI Other and Other Federal Column																				-	-	
DISCLOSURE OF OTHER GRANTS REPORTED ON LINE 402																						
Itemization of Items Reported on Line 402																						
SAPT Block Grant Prevention Administrator																24,500		97,496	24,500		24,500	
ADOH-HUD Grants																			97,496		97,496	
SPF PFS																119,800			119,800		119,800	
SBIRT																364,983			364,983		364,983	
CABHI																10,514			10,514		10,514	
Mental Health First Aid Training										10,825						10,825			10,825		10,825	
Bridge Subsidy																		188,549	188,549		188,549	
Total Other Grants										10,825					24,500	495,297		188,549	816,667		816,667	
DISCLOSURE OF OTHER BEHAVIORAL HEALTH FUNDING SOURCES - NON ADHS REPORTED ON LINE 406																						
Itemization of Items Reported on Line 406																						
Greater Ariz Telemed Consortium																			-	12,500	12,500	
Credit Card Rebate																			-	7,663	7,663	
Sandwich Fees Assessed																			-	3,505	3,505	
Other Miscellaneous																			-	684	684	
Total Other Behavioral Health Funding Sources - Non ADHS																			-	24,352	24,352	
UNRELATED BUSINESS REVENUE REPORTED ON LINE 407																						
Itemization of Items Reported on Line 407																						
Provider Support																			-	253,083	253,083	
Total Unrelated Business Revenue																			-	253,083	253,083	
DISCLOSURE OF SUPPORTED HOUSING EXPENSES ON LINE 504a																						
Itemization of Items Reported on Line 504a																						
Rent Subsidy										70,594	195,958		2,694	29,033					298,279		298,279	
Moving/Start-up Kits																						
Eviction/Prevention Efforts										49,999	70,800			-					120,799		120,799	
Property Acquisition																			-		-	
NTXIX SMI Housing Purchased by NARBHA																			-		-	
Select Category																			-		-	
Select Category																			-		-	
Select Category																			-		-	
Select Category																			-		-	
Other ADHS Approved Housing Expenses (Itemize accordingly):																			-		-	
Approved for the rehabilitation & renovations of existing properties.													159,105						159,105		159,105	
Total Supported Housing Expenses										120,593	266,758		159,105		2,694	29,033			578,183		578,183	
DISCLOSURE OF ALL OTHER BEHAVIORAL HEALTH SERVICES ON LINE 511																						
Itemization of Items Reported on Line 511																						
Path Grant - Homeless																						
SPF PFS																110,815		66,324	110,815		110,815	
PASRR																			300		300	
CABHI																9,725		300	9,725		9,725	
Qualifying Incentive Payments Passed to Providers																			-		-	
Video-conferencing svcs allocated to programs	14,228	4,522	1,887	17,360	1,213	11,777	611	-	-	-	-	235	375	2,994					55,202		55,202	
Total All Other Behavioral Health Services	14,228	4,522	1,887	17,360	1,213	11,777	611	-	-	-	-	235	375	2,994	120,540			300	66,324	242,366	242,366	
DISCLOSURE OF SERVICES EXPENSES FROM NON ADHS SOURCES ON LINE 520																						
Itemization of Items Reported on Line 520																						
Bridge Subsidy pass through from ADOH																						
ADOH-HUD Grants																						
Mental Health First Aid Training																						
SBIRT																						
Total Services Expenses Non ADHS Sources																						
DISCLOSURE OF ALL OTHER OPERATING ON LINE 607																						
Itemization of Items Reported on Line 607																						
Office Supplies	43,889	14,361	5,632	54,850	3,591	38,699	2,075	6,111	894	383		1,016	1,097	3,291	903		517	183	177,491	-	177,491	
Software Licenses/Maintenance Contracts	28,713	9,396	3,684	35,885	2,349	25,318	1,357	3,998	585	251		665	718	2,153	591		338	120	116,121	-	116,121	
Publications	791	259	102	989	65	697	37	110	16	7		18	20	59	16		9	3	3,199	-	3,199	
Printing	5,407	1,760	694	6,767	442	4,767	256	753	110	47		125	135	405	111		64	23	21,966	-	21,966	
Telecommunications	22,067	7,221	2,832	27,578	1,805	19,457	1,043	3,072	449	193		511	552	1,655	454		260	92	89,240	-	89,240	
Training	6,704	2,194	860	8,378	548	5,811	317	933	137	59		155	168	503	138		79	28	27,112	-	27,112	
Sponsorships	2,307	784	308	2,998	196	2,114	113	334	49	21		56	60	180	49		26	10	9,684	-	9,684	
Recruiting	1,614	528	207	2,017	132	1,423	76	225	33	14		37	40	121	33		7	19	6,526	-	6,526	
Insurance	13,923	4,556	1,787	17,400	1,139	12,277	658	1,939	294	122		322	348	1,044	287		68	58	56,306	-	56,306	
Other Operating Expenses	12,039	3,882	1,545	15,017	981	10,627	591	1,742	255	109		290	313	938	257		147	52	48,786	-	48,786	
Translation																						
Sandwiches assessed by ADHS	472	212	60	618	42	404													1,808		1,808	
Qualifying Incentive Payments Passed to Providers																			-	\$0	-	
Total All Other Operating	138,015	45,161	17,710	172,486	11,291	121,694	6,524	19,216	2,811	1,205		3,196	3,451	10,348	2,840			1,627	875	558,149		558,149

NARBHA
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

March 31, 2014

	TXIX/XXI CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMHSA (Includes TXIX Adult)	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADQH	PATH	SUB TOTAL	PROGRAM ADMIN/MGMT/ GEN	TOTAL
DISCLOSURE OF ENCOUNTER EVALUATION SANCTIONS ON LINE 650 Itemization of Items Reported on Line 650																					
Total Encounter Evaluation Sanctions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
DISCLOSURE OF NON ADHS AND/OR UNRELATED ADMINISTRATIVE EXPENSES ON LINE 651 Itemization of Items Reported on Line 651 Interest Business Development Admin Expenses Other Telemed expenses ADQH/HLD Grants SBRT SAPT Block Grant Prevention Administrator Provider Support																					
Total Non ADHS and/or Unrelated Administrative Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	24,500	52,833	-	6,576	-	83,909	548,046
DISCLOSURE OF UNRELATED BUSINESS EXPENSES LINE 701 Itemization of Items Reported on Line 701 Community Reinvestment																					
Total Unrelated Business Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Disclose Service Expense Allocation Methodologies by Program for current reporting package. Include the encounter timeframe used to allocate expenses:

ADJUSTMENTS:
(Disclose and describe any adjustments to previously submitted financial statements including those that affect the current financial statements)

Disclosures:

- NOTES:
- SAPT Children's Expenses: \$171,704 from July 2013 through March 2014.
 - SAPT MAT Expenses: \$144,620 Jul 13-Mar 14 consisting of \$100,074 MAT medx, \$34,695 503b, \$9,598 501b, and \$253 503c.
 - MHFA Expenses: Salaries/ERE \$14,987 and \$10,871 manuals, and \$4,264 travel expenses
 - Admin Expenses for the Bridge Subsidy is \$16,084. PASRR is \$0 and HOPWA/SHIP is \$6,576.
 - The amounts reported under TXIX programs line 501c was not spent for traditional healing and acupuncture.
 - Services for March 2014 were allocated as follows:

All encounters from October 1, 2013 through the end of February 2014 being reported are entered on a spreadsheet by program; this is based on a report generated by MIS that reflects each service code on the lines per Exhibit D Crosswalk to Covered Services Guide.

Where applicable, additional amounts are added to the lines for Inpatient Psychiatric Hospitals, Subacute Facilities, Chemical Dependency residential facilities and crisis phones so that the expense shown on the Statement of Activities ties to the fixed price block purchase agreement amount and ties to the actual payments made.

An IBNR amount is added to applicable programs for Home Care Training to Home Care Client services.

An amount is allocated to each line, other than for the fixed block purchase amounts, based on the percentage reported for that line as compared to the total reported. The total expense reported for each program then ties to the amount paid or payable to providers for each program. At this point an evaluation is done for each program to see if there needs to be a reallocation of funds to be in line with what is being encountered.

Pharmacy expenses are recorded to the fund types based on pharmacy expenses paid year-to-date. Rebates are allocated based on pharmacy expenses.

Non-encounterable services for SAPT Prevention, SAPT HIV, state supported housing, bridge subsidy housing grant, child and family teams, etc. are directly allocated to the appropriate program.

NARBHA
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

March 31, 2014

		State Fiscal Year-to-date		
	July 1, 2013- September 30, 2013	October 1, 2013 - June 30, 2014	July 1, 2013 - June 30, 2014	
	NTXIX/XXI SMI	NTXIX/XXI SMI	NTXIX/XXI SMI	
REVENUE				
401	Revenue Under ADHS Contract			
a	ADHS Revenue	2,027,118	2,854,235	4,881,353
b	ADHS Revenue - Qualifying Incentive Payments		0	0
402	Specialty & Other Grants		0	0
403	Client Fees (Co-pays)		0	0
404	Third Party Recoveries		0	0
a	Medicare		0	0
b	Other Insurance		0	0
405	Interest Income		0	0
406	Other Behavioral Health Funding Sources - Non ADHS		0	0
407	Unrelated Business Revenue		0	0
408	TOTAL REVENUE	2,027,118	2,854,235	4,881,353
EXPENSES				
Service Expenses:				
501	Treatment Services			
a	Counseling			
1	Counseling, Individual	-	-	-
2	Counseling, Family	-	-	-
3	Counseling, Group	-	-	-
b	Assessment, Evaluation and Screening	118,968	128,825	247,793
c	Other Professional	-	-	-
d	Total Treatment Services	118,968	128,825	247,793
502	Rehabilitation Services			
a	Living Skills Training	155,980	209,700	365,680
b	Cognitive Rehabilitation	0	0	0
c	Health Promotion	16,229	24,727	40,956
d	Supported Employment Services	123,477	180,181	303,658
e	Total Rehabilitation Services	295,686	414,608	710,294
503	Medical Services			
a	Medication Services	2,957	6,639	9,596
b	Medical Management	166,128	229,791	395,919
c	Laboratory, Radiology & Medical Imaging	2,807	4,625	7,432
d	Electro-Convulsive Therapy	-	-	-
e	Total Medical Services	171,892	241,055	412,947
504	Support Services			
a	Case Management	519,222	630,269	1,149,491
b	Personal Care Services	6,726	13,974	20,700
c	Family Support	2,750	4,683	7,433
d	Peer Support	126,193	138,995	265,188
e	Home Care Training to Home Care Client	-	-	-
f	Unskilled Respite Care	-	-	-
g	Supported Housing	60,297	120,593	180,890
h	Flex Fund Services	-	-	-
i	Transportation	109,134	113,367	222,501
j	Total Support Services	824,322	1,021,881	1,846,203
505	Crisis Intervention Services	0		
a	Crisis Intervention - Mobile	-	-	-
b	Crisis Intervention - Stabilization	-	-	-
c	Crisis Intervention - Telephone	-	-	-
d	Total Crisis Intervention Services	0	0	0
506	Inpatient Services	0		
a	Hospital			
1	Psychiatric (Provider Types 02 & 71)	(0)	-	(0)
2	Detoxification (Provider Types 02 & 71)	-	-	-
b	Sub acute Facility	-	-	-
1	Psychiatric (Provider Types B5 & B6)	-	-	-
2	Detoxification (Provider Types B5 & B6)	-	-	-
c	Residential Treatment Center (RTC)	-	-	-
	Psychiatric - Secure & Non-Secure			
1	Provider Types 78,B1,B2,B3)	-	-	-
2	(Provider Types (78,B1,B2,B3)	-	-	-
d	Inpatient Services, Professional	-	-	-
e	Total Inpatient Services	(0)	0	(0)
507	Residential Services			
a	Behavioral Health Residential Facilities	-	-	-
b	Reserved for Future Use	-	-	-
c	Room and Board	-	-	-
d	Total Residential Services	0	0	0
508	Behavioral Health Day Program			
a	Supervised Day Program	-	-	-
b	Therapeutic Day Program	-	-	-
c	Medical Day Program	-	-	-
d	Total Behavioral Health Day Program	0	-	0
509	Prevention Services			
a	Prevention	-	-	-
b	HIV	-	-	-
c	Total Prevention Services	0	0	0
510	Medication			
a	Medication Expense	447,998	820,179	1,268,177
b	Less Pharmacy Rebate Received	-	-	-
c	Pharmacy Rebate Related Expense	-	-	-
d	Total Medication Services	447,998	820,179	1,268,177
511	Other ADHS Service Expenses Not Rpt'd Above	0	0	0
513	Subtotal ADHS Service Expenses	1,858,866	2,626,549	4,485,415
520	Service Expenses from Non ADHS Sources	0	0	0
525	Total Service Expense	1,858,866	2,626,549	4,485,415

Administrative Expenses:

601	Salaries	94,911	164,209	259,120
602	Employee Benefits	18,418	26,126	44,544
603	Professional & Outside Services	26,582	3,120	29,702
604	Travel	1,474	1,404	2,878
605	Occupancy	4,072	3,845	7,917
606	Depreciation	5,585	9,767	15,352
607	All Other Operating*	10,803	19,216	30,019
608	Subtotal ADHS Administrative Expenses	<u>161,845</u>	<u>227,687</u>	<u>389,532</u>
620	Interpretive Services	-	-	-
650	Encounter Evaluation Sanctions	5,946	-	5,946
651	Non ADHS and/or Unrelated Admin. Expense	<u>0</u>	<u>-</u>	<u>-</u>
652	Subtotal Administrative Expense	<u>167,791</u>	<u>227,687</u>	<u>395,478</u>
701	Unrelated Business Expenses	0	0	0
790	Income Tax Provisions			0
a	ADHS Income Tax Provision	0	0	0
b	Non ADHS Income Tax Provision	<u>0</u>	<u>0</u>	<u>0</u>
799	Subtotal Income Tax Provision	<u>0</u>	<u>0</u>	<u>0</u>
800	TOTAL EXPENSES	<u><u>2,026,657</u></u>	<u><u>2,854,236</u></u>	<u><u>4,880,893</u></u>
801	INC/(DEC) IN NET ASSETS/EQUITY	<u><u>461</u></u>	<u><u>(1)</u></u>	<u><u>460</u></u>

NARBHA

Comparison Between Actual NTXIX SMI Expenses to Plan
CONTRACT PERIOD TO DATE AS OF:

March 31, 2014

	As Reported NTXIX/XXI SMI	% of ADHS Service Revenue	Plan	% of ADHS Service Revenue	Variance (Overspent) Underspent	% (Over) Under Plan
Enrollment (For ADHS Input Only)						
REVENUE						
Revenue Under ADHS Contract	4,881,353		4,881,353			
Service Revenue	4,485,875	100.0%	4,485,875	100.0%		
EXPENSES						
Service Expenses:						
502 Rehabilitation Services						
a Living Skills Training	365,680	8.2%	134,576	3.0%	(231,104)	-63.2%
c Health Promotion	40,956	0.9%	201,864	4.5%	160,909	392.9%
d Supported Employment Services	303,658	6.8%	448,588	10.0%	144,930	47.7%
e Total Rehabilitation Services	710,294	15.8%	785,028	17.5%	74,734	10.5%
503 Medical Services						
a Medication Services	1,277,773	28.5%	1,480,339	33.0%	202,566	15.9%
b Medical Management	395,919	8.8%	314,011	7.0%	(81,908)	-20.7%
c Laboratory, Radiology & Medical Imaging	7,432	0.2%	22,429	0.5%	14,997	201.8%
501 b Assessment, Evaluation and Screening	247,793	5.5%	358,870	8.0%	111,077	44.8%
Total Medical Services	1,928,918	43.0%	2,175,649	48.5%	246,731	12.8%
504 Support Services						
a Case Management	1,149,491	25.6%	448,588	10.0%	(700,903)	-61.0%
b Personal Care Services	20,700	0.5%	44,859	1.0%	24,159	116.7%
c Family Support	7,433	0.2%	67,288	1.5%	59,855	805.2%
d Peer Support	265,188	5.9%	538,305	12.0%	273,117	103.0%
f Unskilled Respite Care	-	0.0%	22,429	0.5%	22,429	#DIV/0!
g Supported Housing*	180,890	4.0%	179,435	4.0%	(1,455)	-0.8%
i Transportation	222,501	5.0%	224,294	5.0%	1,793	0.8%
j Total Support Services	1,846,203	41.2%	1,525,198	34.0%	(321,005)	-17.4%
525 Total Service Expenses	4,485,415	100.0%	4,485,875	100.0%	460	0.0%

*Revenue decreased by: - (Amount of Payable to ADHS)

**Revenue less Administration expenses, Encounter Evaluation Sanctions and Interpreter Services

On a quarterly basis, provide justification regarding variances from approved NTXIX/XXI SMI spending plan. Include lessons learned and indicate where efforts will be focused in order to align service expenses with targeted percentages.

Variance Justification:

Spending for Living Skills Training is substantially over the planned amount due to high turnover amongst Vocational Coordinators at the provider level. With the one year experience requirement in place, individuals providing vocational services must bill Living Skills Training instead of Supported Employment. When Living Skills Training and Supported Employment are combined, we are overspending vs. the plan amount (\$669,338 reported; \$583,164 plan; overspending \$86,174). CDSMP trainings reported in the December 2013 statement have been completed so Health Promotion activities are expected to increase in the coming quarter. The trend in case management overspending is slowing slightly but still requires significant attention. RA's are hiring additional peer support staff to shift the system. 14% more peers are employed in the network now than were employed in December (100 to 114). Family support services provided in a group setting are only encounterable as a peer support group per the covered services guide. NARBHA has asked ADHS-OIFA to consult with AHCCCS about the possibility of opening a group modifier for the S5110 family support code so that particular service can be more effectively captured in reporting.

NARBHA**STATEMENT OF CASH FLOWS****CONTRACT PERIOD TO DATE AS OF:**

March 31, 2014

CASH FLOWS FROM OPERATING ACTIVITIES:

Changes in Net Assets	1,361,712
Adjustments to Reconcile Excess of Revenue Over/(Under) Expenses to Net Cash Provided (Used) by Operating Activities:	
Depreciation and Amortization	340,301
(Gain) Loss on Disposal of Equipment	0
Changes in Operating Assets and Liabilities	
(Increases)/Decreases in Assets:	
Current Investments	823,647
Receivables	111,136
Inventory & Prepaid Expenses	(87,134)
Interest Receivable	
Deposits	0
Other	(40,994)
Increases/(Decreases) in Liabilities:	
IBNR	(66,123)
RBUIC	0
Accounts Payable to ADHS	(17,809)
Accounts Payable to Providers	(4,151,552)
Interest Payable	0
Trade Accounts Payable	(357,508)
Accrued Salaries & Benefits	29,988
Deferred Revenue	9,061
Risk Pool Payable	0
Other Liabilities	93,091
NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES	(1,952,184)

CASH FLOWS FROM INVESTING ACTIVITIES

Proceeds from Sale of Property & Equipment	0
Purchases of Property & Equipment	(379,098)
Proceeds from Sales of Investments	0
Purchase of Investments	0
NET CASH PROVIDED (USED) BY INVESTING ACTIVITIES	(379,098)

CASH FLOWS FROM FINANCING ACTIVITIES:

Acquisition of Debt (Describe on Schedule A)	0
Payment of Lease Obligations	0
Payment of Other Debts (Describe on Schedule A)	0
NET CASH PROVIDED (USED) BY FINANCING ACTIVITIES	0

NET INCREASE/(DECREASE) IN CASH	(2,331,282)
BEGINNING CASH	26,523,006
ENDING CASH BALANCE *	24,191,724

***NOTE: ENDING CASH BALANCE MUST AGREE WITH TOTAL CASH BALANCE ON BALANCE SHEET**

NARBHA

STATEMENT OF CASH FLOWS

CONTRACT PERIOD TO DATE AS OF:

March 31, 2014

Schedule A Disclosure

Describe:

1. Sources and amounts of cash received for other grants.
2. Underlying transactions for acquisition of debt.
(Debtor, amount, purpose of loan, term, interest rate of debt acquired)
3. Underlying transactions for retirement of debt.
(Debtor, amount paid off.)
4. Cash Flows From Financing Activities - Payment of Other Debt
5. Supplemental data or non-cash investing and financing activities, gifts, etc.
6. Purchase of Property and Equipment

Building Improvements	22,527
Telemed Equipment	222,173
Network	5,280
Software	33,093
Telephone System	96,025
Total	<u><u>379,098</u></u>